



VCF Program Statistics ***(Represents activity through the end of the day on March 31, 2017)***

As of March 31, 2017, the VCF has made 20,938 eligibility decisions, finding 16,376 claimants eligible for compensation. The VCF has made award determinations on 12,435 of those claims at a total value of \$2,486,862,133.54.

STATUS OF FILED CLAIMS

As part of our work to implement the Reauthorized Zadroga Act, we changed the way in which claims processing statistics are reported, including revising how certain key statistics are calculated. These changes were first reflected in our public statistics as reported in the [Fifth Annual Status Report](#) released in March 2017.

Since the publication of the Annual Report, we have made an additional change beginning with this report in the way we provide statistics on compensation determinations. The various statistics published since the reauthorization provided combined eligibility statistics for Group A and Group B claims, but separated the statistics on award determinations into Group A and Group B. This was done in order to show the progress in paying all Group A claims in full and in completing the final reconciliation of those claims. Since Group A is now closed, this report is the first to provide combined statistics on total compensation determinations across all claims.

As a result of these changes, the statistics included in this report will look different when compared to those in past statistics reports. We believe these new statistics better reflect the status of claims from the time they are filed until an award determination is issued. For those statistics where it is possible to do so, we have provided comparison statistics from the same period last year.

ELIGIBILITY CLAIMS

As shown in Table 1 on the following page, the VCF has received 26,907 Eligibility claims. The VCF has made 20,938 total eligibility decisions (78% of all claims filed), finding 16,376 claimants eligible for compensation review (78% of eligibility decisions made).

There are 4,120 claims that have not yet been decided and are either under substantive review by a claim reviewer, or have been screened for completeness of the original submission and are now in queue awaiting assignment for substantive review. There are an additional 1,849 claims that are not subject to processing. This includes 482 claims that have been identified as duplicate or superseded claims for the same victim or the claim has been withdrawn, and 1,367 that have been deemed inactive. A claim is placed in inactive status for one of the following reasons: (1) there is a discrepancy in key data needed to process the claim, such as a discrepancy in the Social Security Number or date of birth, and the VCF is awaiting clarification from the claimant; (2) the claim appears to be a duplicate claim for the same victim and the VCF is awaiting confirmation from the claimant as to which claim to move forward for review; or (3) the claim does not include the minimum documents required for the VCF to begin substantive review (i.e., review to determine eligibility).¹

The total number of eligibility claims submitted includes all eligibility claims the VCF has received, which is different from what has been reported in past quarterly reports. As explained in the Fifth

¹ The minimum documents required in order for the VCF to begin substantive review of a claim are: the claim form, the Claim Form Signature Page (or the equivalent sections from Part IV of the original VCF 2 claim form), Exhibit A – Authorization to Release Medical Information, Exhibit C (if represented by an attorney and the law firm does not already have an Exhibit C on file with the VCF), Exhibit F (for Deceased claims filed using the original VCF 2 claim form), original Letters of Administration and original or certified death certificate (for deceased victims), Private Physician package if the claimant is not being treated by the WTC Health Program, and documentation to support presence at a 9/11 site (for those whose employer or other affiliated organization does not have an existing relationship with the VCF allowing for the exchange of this information). These documents must be submitted and sufficiently complete for the VCF to begin substantive review of a claim.



Annual Status Report, in past reports, the total number of claims submitted did not include duplicate claims, withdrawn claims, or Personal Injury claims that were superseded by the filing of a Deceased claim for the same victim. In addition, prior reports included “inactive” claims in the count of “not eligible” claims, although these claims had not had an eligibility determination rendered. Since these specific categories are now separated out in the statistics, the count of total eligibility claims accounts for all claims submitted to date and is noticeably different than the same statistic as it was reported at this time last year.

It is also worth noting that the count of ineligible claims in Tables 1 and 2 – those claims that have been denied – may *decrease* from one report to the next. The count of denied claims includes those claims that have been found ineligible for failing to respond to requests for required information. These claimants are encouraged to amend their claim once they are ready to provide the missing information. If the information is sufficient to support the claimant’s eligibility, the claim is approved and is removed from the count of denied claims and added to the count of claims with eligibility approved.

Data for the period ending March 31, 2016, is taken from the April 15, 2016, [VCF Program Statistics](#) report and is provided for those statistics where the definition or calculation of the statistic has not changed (except as noted in the paragraph above) and a comparison is therefore appropriate.

Table 1: Status of Eligibility Forms Received

ELIGIBILITY FORMS	As of March 31, 2017	As of March 31, 2016
Total Eligibility Claims	26,907	21,952
Total Eligibility Decisions	20,938	18,670
Eligibility Decisions – Approved	16,376	13,961
Personal Injury Claims	16,093	13,777
Claims for Deceased Individuals*	283	184
Eligibility Decisions – Not Eligible	4,562	4,709
Eligibility Claims Processing	4,120	
Eligibility Claims not yet in Substantive Review	3,233	
Eligibility Claims in Substantive Review	887	
Eligibility Claims – Unable to Process	1,849	
Duplicate, Superseded, or Withdrawn Claims**	482	
Inactive Claims***	1,367	

* Eligibility claims for Deceased Individuals includes only those claimants who died of causes related to their 9/11 eligible condition.

** Includes duplicate claims filed for the same victim, Personal Injury claims that have been superseded by the filing of a Deceased claim for the same victim, and claims that have been withdrawn by the claimant.

*** Includes claims that do not have the minimum documents required to begin review of the claim.

The VCF is also reviewing 2,062 claims for which eligibility was previously decided and there is either a pending appeal of an eligibility denial or the claimant has filed an eligibility amendment seeking a revised determination based on new information or to add a new condition.

Table 2 on the following page provides information on the categories of conditions associated with the eligibility decisions. The breakdowns are based on conditions certified or verified by the WTC Health Program.



Table 2: Eligibility Claims by Category of Condition (as of March 31, 2017)

ELIGIBILITY FORMS	TOTAL	Claims with Cancer as the only Condition	Claims that do not include Cancer as a Condition	Claims with both Cancer and Non-Cancer Conditions
Eligibility Decisions – Approved	16,376	2,008	11,898	2,470
Eligibility Decisions – Not Eligible*	1,002	158	778	66

* This table does not include the 3,560 claims found not eligible where the VCF was unable to make a determination as to whether the claimant suffered from a 9/11-related condition.

COMPENSATION CLAIMS

Once a claimant has been deemed eligible, the VCF begins review of the claimant’s compensation claim to determine how much compensation the claimant is entitled to receive from the Fund. As of March 31, 2017, the VCF has received 21,857 compensation claims, of which 15,471 (71%) are from claimants who have been deemed eligible. Of those with eligibility approved, the VCF has rendered decisions on 12,435 (80%) claims. Note that these numbers reflect all compensation form submissions since the VCF re-opened in October 2011, including claims decided in Group A and claims in Group B.²

An additional 3,727 individuals whose eligibility review is still in process have also submitted compensation claims. If the claimant is deemed eligible, the compensation claim will be prioritized for review based on the date the compensation form was first submitted.

The VCF has also rendered revised decisions on 3,116 claims for which the claimant appealed the award decision or submitted an amendment to seek additional loss once the initial award determination had been rendered. In addition, there are 2,500 claims with a previously-issued award decision that are now pending review of a compensation amendment or appeal.

The statistics in Tables 3 through 6 represent activity through the end of the day on March 31, 2017. Comparison data is shown below where available from the same period last year. It is important to note that the statistics for the period ending March 31, 2016, reflect only Group A claims. As required by the reauthorization, the VCF could not accept new claims or render decisions on previously-filed claims until after the updated regulations were published later in 2016.

² In the Fifth Annual Report issued in March 2017, we reported that the VCF had rendered decisions on 2,424 (43%) claims. That statistic included Group B claims only.



Table 3: Status of Compensation Claims

COMPENSATION CLAIMS	As of March 31, 2017	As of March 31, 2016
TOTAL Compensation Claims (claims for which an Eligibility Form was also submitted)	21,857	9,131
Claims with In-Process Eligibility Review	3,727	
Claims deemed Denied, Duplicate, Superseded or otherwise halted Eligibility review	2,659	
TOTAL Compensation Forms <i>from claimants who have been deemed Eligible</i>	15,471	3,442***
Compensation Decisions Rendered*	12,435	
Personal Injury	12,356	
Claims for Deceased Individuals**	79	
Compensation Claims Processing	3,036	
Compensation Claims not yet in Substantive Review	2,118	
Compensation Claims in Substantive Review	918	
Claims with Revised Decisions due to Amendment or Appeal	5,616	
Claims with Revised Decisions Rendered	3,116	
Claims pending review for a Revised Decision	2,500	

* Statistics for compensation decisions rendered are subject to future revision based on adjustments for claim activity such as appeals and corrections.

** Statistics for Claims for Deceased Individuals includes only those claimants who died of causes directly related to their 9/11 eligible condition.

*** This represents the number of compensation forms filed but not yet decided before the VCF stopped accepting claims following the reauthorization. This number was reported in the July 15, 2016, VCF Status Report, but the same number would have applied in March 2016 as new claims could not be filed until August 1, 2016.

Table 4: Compensation Decisions by Categories of Conditions

COMPENSATION DECISIONS	TOTAL	Claims with Cancer as the only Condition	Claims for only Non-Cancer Conditions	Claims with both Cancer and Non- Cancer Conditions
Compensation Decisions Rendered	12,435	1,391	9,254	1,790
Total Dollar Value	\$2,486,862,133.54	\$473,270,867.18	\$1,434,761,483.71	\$578,829,782.65



Table 5: Compensation Decisions Rendered

COMPENSATION DECISIONS RENDERED	As of March 31, 2017	Dollar Value
Total Decisions Rendered	12,435	\$2,486,862,133.54
Personal Injury Claims	12,356	\$2,436,364,251.42
Deceased Claims	79	\$50,497,882.12
Highest Amount		\$4,133,466.00
Personal Injury Claims		\$4,133,466.00
Claims for Deceased Individuals		\$3,254,242.77
Lowest Amount		\$86.07
Personal Injury Claims		\$86.07
Claims for Deceased Individuals		\$4,570.71
Mean Dollar Value		\$206,876.48
Personal Injury Claims		\$203,931.05
Claims for Deceased Individuals		\$682,403.81

**Table 6: Compensation Decisions Rendered –
Compensation Amounts by Self-Reported Claimant Category**

(based on the category identified by the claimant at the time the Eligibility Form was submitted)

COMPENSATION DECISIONS RENDERED	As of March 31, 2017	Dollar Value
Responder – NYC	10,285	\$2,133,769,725.79
Responder – Pentagon	11	\$1,668,718.55
Responder – Shanksville	5	\$649,552.35
Non-Responder NYC – cleaning or maintenance work	527	\$52,431,059.40
Non-Responder NYC – other capacity	1,104	\$204,147,290.57
Resident within NYC zone	174	\$29,506,625.98
Attend school/childcare/adult care facility	17	\$4,216,234.92
NYC – Other Capacity*	67	\$13,778,377.69
Non-Responder – Pentagon	7	\$1,972,358.40
No Response	238	\$44,722,189.89

* Includes visitors, tourists, and any individual who was in the exposure zone during the relevant time period and is not included in another category.

Table 7: Revised Compensation Decisions by Categories of Conditions
(count of unique claims with revised compensation decisions)

REVISED COMPENSATION DECISIONS	TOTAL	Claims with Cancer as the only Condition	Claims for only Non-Cancer Conditions	Claims with both Cancer and Non- Cancer Conditions
Claims with a Revised Compensation Decision – due to Amendment or Appeal	3,116	149	2,427	540
Dollar Value of Revised Decisions	\$107,828,084.40	\$17,547,472.93	\$56,372,505.17	\$33,908,106.30



REGISTRATION INFORMATION

An individual must timely register his or her claim based on deadlines set forth in the Zadroga Act and implementing regulations. By registering a claim, an individual preserves his or her right to file a claim any time before the VCF closes for submissions on December 18, 2020. Registration does not create an obligation or commitment to file a claim, and the Special Master expects that a large percentage of registrations will not result in claims being filed as they are either duplicates or were created by individuals who will eventually decide not to file a claim.

As of this report, 62,663 unique registrations (based on the victim's Social Security Number) have been submitted with the VCF. This number does not include incomplete registrations.