



## **VCF Program Statistics** ***(Represents activity through the end of the day on August 31, 2018)***

As of August 31, 2018, the VCF has found 20,874 claimants eligible for compensation. The VCF has made initial award determinations on 19,204 of those claims, and has issued revised awards on 5,011 claims due to an amendment or appeal. The total amount awarded to date is \$4,279,427,412.73

### **Eligibility Claims**

As shown in Table 1 on page 2, the VCF has received 38,502 Eligibility claims. The VCF has made 25,066 total eligibility decisions (65% of all claims filed), finding 20,874 claimants eligible for compensation review (83% of eligibility decisions made).

There are 8,816 claims that have not yet been decided and are either under substantive review by a claim reviewer, or have been screened for completeness of the original submission and are now in queue awaiting assignment for substantive review. There are an additional 4,620 claims that cannot be processed. This includes 1,006 claims that have been identified as duplicate or superseded claims for the same victim or where the claim has been withdrawn, and 3,614 that have been deemed inactive. A claim is placed in inactive status for one of the following reasons: (1) there is a discrepancy in key data needed to process the claim, such as a discrepancy in the Social Security Number or date of birth, and the VCF is awaiting clarification from the claimant; (2) the claim appears to be a duplicate claim for the same victim and the VCF is awaiting confirmation from the claimant as to which claim to move forward for review; (3) the claim does not include proof of an eligible 9/11-related condition and the VCF is waiting for the claimant to be certified for treatment by the World Trade Center (“WTC”) Health Program; or (4) the claim is missing one or more minimum documents required for the VCF to begin substantive review (i.e., review to determine eligibility).<sup>1</sup>

The VCF has also rendered 8,500 revised eligibility decisions on 6,891 claims where eligibility was previously decided and the claimant either appealed a prior eligibility denial, or filed an eligibility amendment seeking a revised determination based on new information or to add a new condition. Because a claimant can amend his or her claim multiple times, it is common for the VCF to review and render decisions on more than one amendment per claim. The VCF is also reviewing 1,709 claims with a pending eligibility amendment or appeal.

It is worth noting that the count of ineligible claims (those claims that have been denied) in Tables 1 and 2 may *decrease* from one report to the next. The count of denied claims includes those claims that have been found ineligible for failing to respond to requests for required information. These claimants are encouraged to amend their claim once they are ready to provide the missing information. If the information is sufficient to support the claimant’s eligibility, the claim is approved and is removed from the count of denied claims and added to the count of claims with eligibility approved. We believe that this decrease continues to demonstrate the effectiveness of our increased efforts to educate claimants about the

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<sup>1</sup> The minimum documents required in order for the VCF to begin substantive review of a claim are: the claim form, the Claim Form Signature Page (or the equivalent sections from Part IV of the original VCF 2 claim form), Exhibit A – Authorization to Release Medical Information, Exhibit C (if represented by an attorney and the law firm does not already have an Exhibit C on file with the VCF), documentation to support presence at a 9/11 site (for those whose employer or other affiliated organization does not have an existing relationship with the VCF allowing for the exchange of this information); and for deceased claims, Exhibit F or Appendix A, and Letters of Administration and original or certified death certificate. These documents must be submitted and sufficiently complete for the VCF to begin substantive review of a claim.



availability of our amendment process through which they can supplement and request reevaluation of a previously denied claim.

Comparison data for the period ending August 31, 2018, is taken from the [VCF Program Statistics](#) report published on September 7, 2017, which included data through August 31, 2017, and is provided for those statistics where comparison data is available. The VCF added new statistics to our public reports after the August 31, 2017, statistics report was published, which results in comparative data not being available for certain statistics.

**Table 1: Status of Eligibility Forms Received**

<b>ELIGIBILITY FORMS</b>	<b>As of August 31, 2018</b>	<b>As of August 31, 2017</b>	<b>Year-to-Year Change</b>
<b>Total Eligibility Claims</b>	<b>38,502</b>	<b>30,081</b>	<b>8,421</b>
<b>Eligibility Decisions</b>			
<b>Eligibility Decisions – Approved</b>	<b>20,874</b>	<b>17,434</b>	<b>3,440</b>
Personal Injury Claims	20,154	17,063	3,091
Deceased Claims*	720	371	349
<b>Eligibility Decisions – Not Eligible</b>	<b>4,192</b>	<b>4,278</b>	<b>(86)</b>
Denied	1,121	1,464	<b>(343)</b>
Ineligible due to failure to respond to requests for required information	3,071	2,814	<b>257</b>
<b>Eligibility Claims Processing</b>	<b>8,816</b>	<b>4,997</b>	<b>3,819</b>
Eligibility Claims not yet in Substantive Review	7,572	4,041	3,531
Eligibility Claims in Substantive Review	1,244	956	288
<b>Eligibility Claims – Unable to Process</b>	<b>4,620</b>	<b>3,372</b>	<b>1,248</b>
Duplicate, Superseded, or Withdrawn Claims	1,006	601	405
Inactive Claims	3,614	2,771	843
<b>Revised Eligibility Decisions due to Amendment or Appeal</b>			
<b>Count of Claims with at least one Eligibility Amendment or Appeal Submitted</b>	<b>8,600</b>		
Count of unique Claims with at least one Revised Eligibility Decision rendered (due to amendment or appeal)	6,891		
Unique Claims pending review for a Revised Decision	1,709	2,102	(393)
<b>Total number of Revised Eligibility Decisions Rendered (may be more than one revised decision per claim)</b>	<b>8,500</b>		

\* The count of Deceased claims includes only those claims filed for a victim who died of causes related to an eligible 9/11 condition. The claim type is initially determined based on answers to claim form questions and may change once the claim begins substantive review.

Table 2 on the following page provides information on the categories of conditions associated with the eligibility decisions. The breakdowns are based on conditions certified or verified by the WTC Health Program.



**Table 2: Eligibility Claims by Category of Condition (as of August 31, 2018)**

ELIGIBILITY FORMS	TOTAL	Claims with Cancer as the only Condition	Claims that do not include Cancer as a Condition	Claims with both Cancer and Non-Cancer Conditions
Eligibility Decisions – Approved	20,874	3,396	13,793	3,685
Eligibility Decisions – Not Eligible*	849	128	661	60

\* This table does not include the 3,343 claims found not eligible where the VCF was unable to make a determination as to whether the claimant suffered from a 9/11-related condition, including those claims where eligibility was denied for failure to respond to a request for information.

### Compensation Claims

Once a claimant has been deemed eligible, the VCF begins review of the claimant’s compensation claim to determine how much compensation the claimant may receive from the Fund. As of August 31, 2018, the VCF has received 34,850 compensation claims, of which 20,511 (59%) are from claimants who have been deemed eligible. Of those with eligibility approved, the VCF has rendered initial decisions on 19,204 (94%) claims.

An additional 8,776 individuals whose eligibility review is still in process have also submitted compensation claims. If the claimant is deemed eligible, the compensation claim will be prioritized for review based on the date the compensation form was first submitted.

The VCF has also rendered 5,011 revised decisions on 7,502 claims for which the claimant appealed the award decision or submitted an amendment to seek additional loss once the initial award determination had been rendered. Claimants may submit more than one amendment for review once the initial award has been issued. In addition, there are 2,050 claims with a previously-issued award decision that are now pending review of a compensation amendment or appeal, and 441 claims where an amendment was dispositioned without substantive review.

The statistics in Tables 3 through 6 on the following pages represent activity through the end of the day on August 31, 2018. Comparison data is shown where available from the period ending August 31, 2017.



**Table 3: Status of Compensation Claims**

COMPENSATION CLAIMS	As of August 31, 2018	As of August 31, 2017	Year-to-Year Change
<b>TOTAL Compensation Claims (claims for which an Eligibility Form was also submitted)</b>	<b>34,850</b>	<b>25,695</b>	<b>9,155</b>
Claims with In-Process Eligibility Review	8,776	4,756	4,020
Claims deemed Denied, Duplicate, Superseded or otherwise halted Eligibility review	5,563	4,114	1,449
TOTAL Compensation Forms from claimants who have been deemed Eligible	20,511	16,825	3,686
<b>Compensation Decisions Rendered – Initial Award Decisions</b>	<b>19,204</b>	<b>14,009</b>	<b>5,195</b>
Personal Injury Claims	18,748	13,865	4,883
Deceased Claims*	456	144	312
<b>Compensation Claims Processing</b>	<b>1,286</b>	<b>2,816</b>	<b>(1,530)</b>
Compensation Claims not yet in Substantive Review	591	2,147	(1,556)
Compensation Claims in Substantive Review	695	669	26
<b>Compensation Claims – Unable to Process**</b>	<b>21</b>		
<b>Revised Compensation Decisions due to Amendment or Appeal</b>			
<b>Count of Claims with at least one Compensation Amendment or Appeal Submitted</b>	<b>7,502</b>	<b>6,101</b>	1401
Count of unique Claims with at least one Revised Compensation Decision rendered (due to amendment or appeal)	5,011	3,528	1,483
Count of unique claims for which an amendment was dispositioned without Substantive Review***	441		
Unique Claims pending review for a Revised Decision	2,050	2,573	(523)
<b>Total number of Revised Compensation Decisions Rendered or Amendments Dispositioned (may be more than one revised decision per claim)</b>	<b>7,225</b>		

\* The count of Deceased claims includes only those claims filed for a victim who died of causes related to an eligible 9/11 condition. The claim type is initially determined based on answers to claim form questions and may change once the claim begins substantive review.

\*\* Includes claims for deceased victims for which Eligibility has been approved, but the compensation claim has been deactivated because the claim is missing information regarding proof of cause of death or life insurance (a statutorily required collateral offset). Effective December 2017, the VCF changed its policy regarding proof of cause of death and now requires the submission of cause of death documentation prior to rendering an eligibility determination.

\*\*\* This occurs when an amendment is filed without any supporting information, or in cases where the claimant has already received a non-economic award at the statutory cap and the newly claimed condition does not change that award.



**Table 4: Initial Compensation Decisions by Categories of Conditions**

INITIAL COMPENSATION DECISIONS	TOTAL	Claims with Cancer as the only Condition	Claims for only Non-Cancer Conditions	Claims with both Cancer and Non-Cancer Conditions
Compensation Decisions Rendered	19,204	3,054	13,320	2,830
Total Dollar Value	\$3,901,216,187.22	\$1,071,721,870.03	\$1,934,942,614.19	\$894,551,703.00

**Table 5: Revised Compensation Decisions by Categories of Conditions\***  
(count of unique claims with revised compensation decisions)

REVISED COMPENSATION DECISIONS	TOTAL	Claims with Cancer as the only Condition	Claims for only Non-Cancer Conditions	Claims with both Cancer and Non-Cancer Conditions
Claims with a Revised Compensation Decision – due to Amendment or Appeal	5,011	332	3,547	1,132
Dollar Value of Revised Decisions	\$378,211,225.51	\$61,719,787.19	\$180,967,140.31	\$135,524,298.01

\* The table does not include 441 claims for which an amendment was received but was dispositioned without substantive review.

**Table 6: Compensation Decisions Rendered**

COMPENSATION DECISIONS RENDERED	Dollar Value
<b>Total Decisions Rendered</b>	<b>\$4,279,427,412.73</b>
Personal Injury Claims	\$3,973,762,555.08
Deceased Claims	\$305,664,857.65
<b>Highest Amount</b>	<b>\$4,133,466.00</b>
Personal Injury Claims	\$4,133,466.00
Claims for Deceased Individuals	\$3,687,255.65
<b>Lowest Amount*</b>	<b>\$458.13</b>
Personal Injury Claims	\$458.13
Claims for Deceased Individuals	\$10,067.64
<b>Mean Dollar Value</b>	<b>\$234,733.55</b>
Personal Injury Claims	\$223,182.40
Claims for Deceased Individuals	\$717,523.14

\* The \$458.13 in the table represents the lowest amount for any claim for which the calculated award was greater than \$0. Note that there are also claims submitted by claimants who were fully compensated in VCF1 (the original VCF that operated between 2001 and 2004) and do not have any new conditions. These claimants do not receive any compensation and the associated dollar value for these claims is therefore \$0. There are also a number of claimants whose offsets exceed the total amount of their combined economic and non-economic loss, resulting in a \$0 award as required by the reauthorization statute.



## Information by Claimant Categories

The information in Table 7 below is based on the category identified by the claimant at the time the Eligibility Form was submitted. The number of claims with award decisions reflects only those claims for which an award has been rendered. The remaining claims are either pending review, inactive, or were determined to be ineligible. The associated dollar value reflects the current total award (initial award and any subsequent revised amounts due to an amendment or an appeal) associated with all compensation decisions made on the claims. The data in the table is current as of August 31, 2018.

**Table 7: Claim Information by Self-Reported Claimant Category**

CLAIMANT CATEGORY	Number of Claims Submitted	Number of Claims deemed Eligible	Number of Claims with Award Decision	Dollar Value
Responder – NYC	25,928	16,559	15,328	\$3,475,524,150.84
Responder – Pentagon	100	31	25	\$6,749,590.02
Responder – Shanksville	23	9	7	\$1,441,017.56
Non-Responder NYC – cleaning or maintenance work	1,101	710	667	\$74,698,571.66
Non-Responder NYC – other capacity	7,811	2,465	2,236	\$522,740,618.24
Resident within NYC zone*	1,518	446	406	\$85,956,278.38
Attend school/childcare/adult care facility	61	37	35	\$10,363,295.46
NYC – Other Capacity	718	154	140	\$35,651,531.92
Non-Responder – Pentagon	53	13	12	\$3,073,901.41
No Response	1,189	450	348	\$63,228,457.24

\* The "NYC Exposure Zone" is defined as "the area in Manhattan south of the line that runs along Canal Street from the Hudson River to the intersection of Canal Street and East Broadway, north on East Broadway to Clinton Street, and east on Clinton Street to the East River; and any area related to or along the routes of debris removal, such as barges and Fresh Kills landfill. <https://www.vcf.gov/nycExposureMap.html>

Listed below are the definitions for those claimant categories that benefit from additional clarification:

- **Responder:** an individual who performed rescue, recovery, demolition, debris cleanup, or other related services at one of the sites in response to the September 11, 2001, terrorist attacks, regardless of whether the individual was a state or federal employee or member of the National Guard or performed the services in some other capacity. Therefore, the victim may be considered a Responder even if he or she performed the listed services through a private employer or on a volunteer basis.
- **Non-Responder NYC – other capacity:** an individual who, through ordinary employment, was in the exposure zone during the period from September 11, 2001, through May 30, 2002.
- **NYC – Other Capacity:** a visitor, tourist, and any other individual who was in the exposure zone during the relevant time period and is not included in another category.



## **Registration Information**

An individual must timely register his or her claim based on deadlines set forth in the Zadroga Act and implementing regulations. By registering, an individual preserves his or her right to file a claim any time before the VCF closes for submissions on December 18, 2020.

Registration does not create an obligation or commitment to file a claim, and the Special Master expects that a large percentage of registrations will not result in claims being filed as they are either duplicates or were created by individuals who will eventually decide not to file a claim.

As of this report, 75,899 unique registrations (based on the victim's Social Security Number) have been submitted with the VCF. This number does not include incomplete registrations.