

VCF Program Statistics (Represents activity through the end of the day on June 30, 2017)

As of June 30, 2017, the VCF has made 21,448 eligibility decisions, finding 16,942 claimants eligible for compensation. The VCF has made award determinations on 13,413 of those claims at a total value of \$2,818,804,225.81. The total amount awarded to date includes revised awards on 3,425 claims due to an amendment or appeal.

ELIGIBILITY CLAIMS

As shown in Table 1 on the following page, the VCF has received 28,609 Eligibility claims. The VCF has made 21,448 total eligibility decisions (75% of all claims filed), finding 16,942 claimants eligible for compensation review (79% of eligibility decisions made).

There are 4,885 claims that have not yet been decided and are either under substantive review by a claim reviewer, or have been screened for completeness of the original submission and are now in queue awaiting assignment for substantive review. There are an additional 2,276 claims that are not subject to processing. This includes 546 claims that have been identified as duplicate or superseded claims for the same victim or the claim has been withdrawn, and 1,730 that have been deemed inactive. A claim is placed in inactive status for one of the following reasons: (1) there is a discrepancy in key data needed to process the claim, such as a discrepancy in the Social Security Number or date of birth, and the VCF is awaiting clarification from the claimant; (2) the claim appears to be a duplicate claim for the same victim and the VCF is awaiting confirmation from the claimant as to which claim to move forward for review; (3) or the claim does not include proof of an eligible 9/11-related condition or is missing other minimum documents required for the VCF to begin substantive review (i.e., review to determine eligibility).¹

The total number of eligibility claims submitted includes all eligibility claims the VCF has received, which is different from what has been reported in past quarterly reports. As explained in the Fifth Annual Status Report, in past reports, the total number of claims submitted did <u>not</u> include duplicate claims, withdrawn claims, or Personal Injury claims that were superseded by the filing of a Deceased claim for the same victim. In addition, prior reports included "inactive" claims in the count of "not eligible" claims, although these claims had not had an eligibility determination rendered. Since these specific categories are now separated out in the statistics, the count of total eligibility claims accounts for all claims submitted to date and is noticeably different than the same statistic as it was reported at this time last year.

It is also worth noting that the count of ineligible claims in Tables 1 and 2 – those claims that have been denied – may *decrease* from one report to the next. The count of denied claims includes those claims that have been found ineligible for failing to respond to requests for required information. These claimants are encouraged to amend their claim once they are ready to provide the missing information. If the information is sufficient to support the claimant's eligibility, the claim is approved and is removed from the count of denied claims and added to the count of claims with eligibility approved.

¹ The minimum documents required in order for the VCF to begin substantive review of a claim are: the claim form, the Claim Form Signature Page (or the equivalent sections from Part IV of the original VCF 2 claim form), Exhibit A – Authorization to Release Medical Information, Exhibit C (if represented by an attorney and the law firm does not already have an Exhibit C on file with the VCF), Exhibit F (for Deceased claims filed using the original VCF 2 claim form), original Letters of Administration and original or certified death certificate (for deceased victims), and documentation to support presence at a 9/11 site (for those whose employer or other affiliated organization does not have an existing relationship with the VCF allowing for the exchange of this information). These documents must be submitted and sufficiently complete for the VCF to begin substantive review of a claim.



Comparison data for the same period in 2016 is taken from the July 15, 2016, <u>VCF Program Statistics</u> report and is provided for those statistics where the definition or calculation of the statistic has not changed (except as noted in the paragraph above) and a comparison is therefore appropriate.

Table 1: Status of Eligibility Forms Received

ELIGIBILITY FORMS	As of June 30, 2017	As of July 10, 2016
Total Eligibility Claims	28,609	22,870
Total Eligibility Decisions	21,448	20,129
Eligibility Decisions – Approved	16,942	14,761
Personal Injury Claims	16,618	14,557
Deceased Claims*	324	204
Eligibility Decisions – Not Eligible	4,506	5,368
Denied	1,523	
Ineligible due to failure to respond to requests for required information	2,983	
Eligibility Claims Processing	4,885	2,741
Eligibility Claims not yet in Substantive Review	3,410	
Eligibility Claims in Substantive Review	1,475	
Eligibility Claims – Unable to Process	2,276	
Duplicate, Superseded, or Withdrawn Claims**	546	
Inactive Claims***	1,730	

^{*} The count of Deceased claims includes only those claims filed for a victim who died of causes related to an eligible 9/11 condition. The claim type is initially determined based on answers to claim form questions and may change once the claim begins substantive review.

The VCF is also reviewing 2,152 claims for which eligibility was previously decided and there is either a pending appeal of an eligibility denial or the claimant has filed an eligibility amendment seeking a revised determination based on new information or to add a new condition.

Table 2 below provides information on the categories of conditions associated with the eligibility decisions. The breakdowns are based on conditions certified or verified by the WTC Health Program.

Table 2: Eligibility Claims by Category of Condition (as of June 30, 2017)

ELIGIBILITY FORMS	TOTAL	Claims with Cancer as the only Condition	Claims that do not include Cancer as a Condition	Claims with both Cancer and Non-Cancer Conditions
Eligibility Decisions – Approved	16,942	2,156	12,194	2,592
Eligibility Decisions – Not Eligible*	924	142	724	58

^{*} This table does not include the 3,582 claims found not eligible where the VCF was unable to make a determination as to whether the claimant suffered from a 9/11-related condition, including in those claims where eligibility was denied for failure to respond to a request for information.

^{**} Includes duplicate claims filed for the same victim, Personal Injury claims that have been superseded by the filing of a Deceased claim for the same victim, and claims that have been withdrawn by the claimant.

^{***} Includes claims that do not have the minimum documents required to begin review of the claim.



COMPENSATION CLAIMS

Once a claimant has been deemed eligible, the VCF begins review of the claimant's compensation claim to determine how much compensation the claimant may receive from the Fund. As of June 30, 2017, the VCF has received 23,992 compensation claims, of which 16,239 (68%) are from claimants who have been deemed eligible. Of those with eligibility approved, the VCF has rendered decisions on 13,413 (83%) claims. Note that these numbers reflect all compensation form submissions since the VCF re-opened in October 2011.

An additional 4,567 individuals whose eligibility review is still in process have also submitted compensation claims. If the claimant is deemed eligible, the compensation claim will be prioritized for review based on the date the compensation form was first submitted.

The VCF has also rendered revised decisions on 3,425 claims for which the claimant appealed the award decision or submitted an amendment to seek additional loss once the initial award determination had been rendered. In addition, there are 2,456 claims with a previously-issued award decision that are now pending review of a compensation amendment or appeal.

The statistics in Tables 3 through 7 represent activity through the end of the day on June 30, 2017. Comparison data is shown below where available from the same period last year. It is important to note that the statistics for the period ending July 10, 2016, reflect predominantly Group A claims, with only a very small number of Group B claims that were decided just prior to the report being published. As required by the reauthorization, the VCF could not accept new claims or render decisions on previously-filed claims until after the updated interim regulations were published in June 2016. The VCF began issuing Group B compensation decisions on June 30, 2016.

Table 3: Status of Compensation Claims

COMPENSATION CLAIMS	As of June 30, 2017	As of July 10, 2016**
TOTAL Compensation Claims (claims for which an Eligibility Form was also submitted)	23,992	14,120
Claims with In-Process Eligibility Review	4,567	
Claims deemed Denied, Duplicate, Superseded or otherwise halted Eligibility review	3,186	
TOTAL Compensation Forms from claimants who have been deemed Eligible	16,239	12,571
Compensation Decisions Rendered – Initial Award Decisions	13,413	9,407
Personal Injury Claims	13,294	9,385
Deceased Claims*	119	22
Compensation Claims Processing	2,826	3,164
Compensation Claims not yet in Substantive Review	2,007	
Compensation Claims in Substantive Review	819	
Claims for Revised Decisions due to Amendment or Appeal	5,881	1,419
Claims with Revised Decisions Rendered	3,425	
Claims pending review for a Revised Decision	2,456	

^{*} The count of Deceased claims includes only those claims filed for a victim who died of causes related to an eligible 9/11 condition. The claim type is initially determined based on answers to claim form questions and may change once the claim begins substantive review.

^{**} These numbers represent the total for Group A and Group B claims as reported separately in the July 10, 2016 report.



Table 4: Compensation Decisions by Categories of Conditions

COMPENSATION DECISIONS	TOTAL	Claims with Cancer as the only Condition	Claims for only Non-Cancer Conditions	Claims with both Cancer and Non- Cancer Conditions
Compensation Decisions Rendered	13,413	1,618	9,976	1,819
Total Dollar Value	\$2,676,396,911.83	\$546,102,215.05	\$1,531,643,779.46	\$598,650,917.32

Table 5: Revised Compensation Decisions by Categories of Conditions

(count of unique claims with revised compensation decisions)

REVISED COMPENSATION DECISIONS	TOTAL	Claims with Cancer as the only Condition	Claims for only Non-Cancer Conditions	Claims with both Cancer and Non- Cancer Conditions
Claims with a Revised Compensation Decision – due to Amendment or Appeal	3,425	165	2,643	617
Dollar Value of Revised Decisions	\$142,407,313.98	\$20,269,477.09	\$77,330,035.54	\$44,807,801.35

Table 6: Compensation Decisions Rendered

COMPENSATION DECISIONS RENDERED	Dollar Value
Total Decisions Rendered	\$2,818,804,225.81
Personal Injury Claims	\$2,737,119,232.35
Deceased Claims	\$81,684,993.46
Highest Amount	\$4,133,466.00
Personal Injury Claims	\$4,133,466.00
Claims for Deceased Individuals	\$3,254,242.77
Lowest Amount*	\$86.07
Personal Injury Claims	\$86.07
Claims for Deceased Individuals	\$20,067.64
Mean Dollar Value	\$218,055.56
Personal Injury Claims	\$213,587.14
Claims for Deceased Individuals	\$729,330.30

^{*} The \$86.07 in the table represents the lowest amount for any claim for which the calculated award was greater than \$0. Note that there are also claims submitted by claimants who were fully compensated in VCF1 (the original VCF that operated between 2001 to 2004) and do not have any new conditions. These claimants do not receive any compensation and the associated dollar value for these claims is therefore \$0. There are also a number of claimants whose offsets exceed the total amount of their combined economic and non-economic loss, resulting in a \$0 award as required by the reauthorization statute.



Table 7: Decided Compensation Claims – Compensation Amounts by Self-Reported Claimant Category

The information in the table below is based on the category identified by the claimant at the time the Eligibility Form was submitted. The associated dollar value reflects the current total award (initial award and any subsequent revised amounts due to an amendment or an appeal) associated with all compensation decisions made on the claim.

DECIDED COMPENSATION CLAIMS	As of June 30, 2017	Dollar Value
Responder – NYC	11,021	\$2,398,575,857.32
Responder – Pentagon	15	\$2,569,078.55
Responder – Shanksville	5	\$649,552.35
Non-Responder NYC – cleaning or maintenance work	551	\$57,627,567.13
Non-Responder NYC – other capacity	1,217	\$237,846,382.75
Resident within NYC zone	197	\$37,591,382.87
Attend school/childcare/adult care facility	23	\$8,668,260.94
NYC - Other Capacity*	73	\$15,660,015.74
Non-Responder – Pentagon	7	\$1,972,358.40
No Response	304	\$57,643,769.76

^{*} Includes visitors, tourists, and any individual who was in the exposure zone during the relevant time period and is not included in another category.

REGISTRATION INFORMATION

An individual must timely register his or her claim based on deadlines set forth in the Zadroga Act and implementing regulations. By registering a claim, an individual preserves his or her right to file a claim any time before the VCF closes for submissions on December 18, 2020. Registration does not create an obligation or commitment to file a claim, and the Special Master expects that a large percentage of registrations will not result in claims being filed as they are either duplicates or were created by individuals who will eventually decide not to file a claim.

As of this report, 64,559 unique registrations (based on the victim's Social Security Number) have been submitted with the VCF. This number does not include incomplete registrations.